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| 28 Rock Ridge Ave.Greenwich Ct. 06831 | Mobile: (914) 319-7775Email: brian@rra-llc.com |

Brian Pennington

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| Family | Wife: Amy Pennington (October 15, 1995).Children: Olivia (May 16, 2000); Georgia (June 4, 2002), and Brian Barrett (April 5, 2006). |
| Experience | 2009-2020 GoldenTree Asset Management New York, NYPartner/Director of Risk and Quantitative ResourcesResponsible for designing and implementing an innovative risk management and analytic system using RiskSim, proprietary software that I developed over the course of my career. Enhanced the investment process by adding a portfolio macro hedge overlay that enabled the firm to separate credit risk and macro risk and differentiate ourselves from our peers.2005-2009 Rock Ridge Advisors Greenwich, CTCo-Founder/Managing PartnerRock Ridge Advisors was a thematic-driven global multi-strategy hedge fund. Responsible for all aspects of management including risk taking, risk management, and business operations. |
|  | 2001-2004 Caxton Associates Greenwich, CTSenior Strategist—Caxton Relative Value HoldingsResponsible for formulating and executing various relative value fixed income investment strategies.Member of the CRVH Risk Management Committee. |
|  | 1997-2001 Convergence Asset Management Greenwich, CTCo-Founder/Managing PartnerConvergence Asset Management was a leveraged fixed income relative value fund.Responsible for Research/System Development, Global Risk Management, Global Trade Strategy, and Global Trading. |
|  | 1986-1996 Salomon Brothers New York, NYFixed Income ArbitragePrimarily Responsible for U.S. Yield Curve Trading, 1987-1989.Managed OTC Option Book, 1990.Mortgage Arbitrage/Hedging, 1991-1996. |
| Education | 1984-1986 University of Chicago Chicago, ILMaster of Business Administration with concentrations in Finance/Statistics.A.B.D. Completed all requirements for a Ph.D. in Finance and Statistics except for a dissertation.1980-1984 Baylor University Waco, TXB.S., Mathematics.Highest Ranking Student in the University.Member of Sigma Chi (President; Treasurer), Phi Beta Kappa, Mortar Board. |
| Interests | I am an avid reader and enjoy golf (member of the GlenArbor Golf Club in Bedford, New York and Greenwich Country Club) and horseback riding (competed in A-level Adult Jumping Competitions). I was a trustee of the Baylor University Foundation and served on the Baylor Endowment Investment Committee for over a decade and have endowed several scholarships at Baylor. I have been President of the Board of the Rock Ridge Association since 2003 (Director since 2000). I served as a Trustee the Greenwich Public Library where I was on the Executive Committee and was Treasurer and head of the Investment Committee. I am also involved at the First Presbyterian Church of Greenwich where I served as an Elder chairing the Stewardship and Finance Committee and was President of the Corporation. Amy and I have been actively involved with the Habitat for Humanity, the Red Cross, the Greenwich Historical Society, Hospital for Special Surgery and support many other charitable organizations.  |